



An initiative of the EICC and GeSI

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Conflict Minerals Reporting Template (CMRT)

English

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Revision 4.20
November 30, 2016

[Link to Terms & Conditions](#)

The purpose of this document is to collect sourcing information on tin, tantalum, tungsten and gold used in products

Mandatory fields are noted with an asterisk (*).

Company Information

Company Name (*):	Espey Mfg. & Electronics Corp.
Declaration Scope or Class (*):	A. Company
Description of Scope:	Manufacturer of Power Supplies, Transformers and Contract Manufacturing. Custom Engineering
Company Unique ID:	1265396
Company Unique ID Authority:	DUNS Number
Address:	233 Ballston Avenue Saratoga Springs, NY 12866
Contact Name (*):	Christopher D. Teel
Email - Contact (*):	cteel@espey.com
Phone - Contact (*):	518-245-4267
Authorizer (*):	Christopher D. Teel
Title - Authorizer:	Director, Strategic Sourcing & Quality Control
Email - Authorizer (*):	cteel@espey.com
Phone - Authorizer (*):	518-245-4267
Effective Date (*):	22-May-2017

Answer the following questions 1 - 7 based on the declaration scope indicated above

1) Is the 3TG intentionally added to your product? (*)	Answer	Comments
Tantalum (*)	No	
Tin (*)	Yes	
Gold (*)	No	
Tungsten (*)	Yes	

2) Is the 3TG necessary to the production of your company's products and contained in the finished product that your company manufactures or contracts to manufacture? (*)	Answer	Comments
Tantalum (*)	No	
Tin (*)	Yes	
Gold (*)	No	
Tungsten (*)	Yes	

3) Do any of the smelters in your supply chain source the 3TG from the covered countries? (SEC term, see definitions tab) (*)	Answer	Comments
Tantalum	Unknown	Some of our suppliers indicate that they do source from covered countries. At this time it is unknown whether 3TG from these suppliers is incorporated into Espey products.
Tin (*)	Unknown	Some of our suppliers indicate that they do source from covered countries. At this time it is unknown whether 3TG from these suppliers is incorporated into Espey products.
Gold	Unknown	Some of our suppliers indicate that they do source from covered countries. At this time it is unknown whether 3TG from these suppliers is incorporated into Espey products.
Tungsten (*)	Unknown	Some of our suppliers indicate that they do source from covered countries. At this time it is unknown whether 3TG from these suppliers is incorporated into Espey products.

4) Does 100 percent of the 3TG (necessary to the functionality or production of your products) originate from recycled or scrap sources? (*)	Answer	Comments
Tantalum	No	
Tin (*)	No	
Gold	No	
Tungsten (*)	No	

5) Have you received data/information for each 3TG from all relevant suppliers? (*)	Answer	Comments
Tantalum	No, but greater than 75%	Due diligence for balance still in progress.
Tin (*)	No, but greater than 75%	Due diligence for balance still in progress.
Gold	No, but greater than 75%	Due diligence for balance still in progress.
Tungsten (*)	No, but greater than 75%	Due diligence for balance still in progress.

6) Have you identified all of the smelters supplying the 3TG to your supply chain? (*)	Answer	Comments
Tantalum	No	
Tin (*)	No	
Gold	No	
Tungsten (*)	No	



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7) Has all applicable smelter information received by your company been reported in this declaration? (*)

	Answer	Comments
Tantalum	Yes	All information provided by supply chain to date, via completed CMRT has been included. Due diligence for balance still in progress.
Tin (*)	Yes	All information provided by supply chain to date, via completed CMRT has been included. Due diligence for balance still in progress.
Gold	Yes	All information provided by supply chain to date, via completed CMRT has been included. Due diligence for balance still in progress.
Tungsten (*)	Yes	All information provided by supply chain to date, via completed CMRT has been included. Due diligence for balance still in progress.

Answer the Following Questions at a Company Level

Question	Answer	Comments
A. Do you have a policy in place that addresses conflict minerals sourcing? (*)	Yes	Espey complies with the Dodd-Frank Act Section 1502 and the SEC Final Rule
B. Is your conflict minerals sourcing policy publicly available on your website? (Note - If yes, the user shall specify the URL in the comment field.) (*)	Yes	www.espey.com
C. Do you require your direct suppliers to be DRC conflict-free? (*)	Yes	Suppliers are required to comply with the Dodd-Frank Act 1502 in the Purchase Order Terms & Conditions
D. Do you require your direct suppliers to source the 3TG from smelters whose due diligence practices have been validated by an independent third party audit program? (*)	No	As part of our due diligence efforts, Espey requests that all suppliers who indicate that they may have products containing 3TG that originate from the DRC. Region confirm these products are from CFSI compliant smelters.
E. Have you implemented due diligence measures for conflict-free sourcing? (*)	Yes	Annual CMRT reporting, Purchase Order flow-downs, letters to suppliers, etc. in accordance with OECD guidance.
F. Do you collect conflict minerals due diligence information from your suppliers which is in conformance with the IPC-1755 Conflict Minerals Data Exchange standard [e.g., the CFSI Conflict Minerals Reporting Template]? (*)	Yes	
G. Do you request smelter names from your suppliers? (*)	Yes	
H. Do you review due diligence information received from your suppliers against your company's expectations? (*)	Yes	Documentation review for completeness.
I. Does your review process include corrective action management? (*)	Yes	Suppliers providing incomplete responses will be required to provide additional documentation. Corrective action will be required if supplier sources from non-CFSI validated smelters.
J. Are you subject to the SEC Conflict Minerals rule? (*)	Yes	Yes, annual reporting to SEC at end of FY 6/30.

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